

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Application No.:** 10/047,607  
**Date Filed:** January 14, 2002  
**Title:** SYSTEMS AND METHODS FOR ELECTRONIC  
TRADING THAT PERMIT PRINCIPAL/BROKER  
TRADING  
**Applicants:** Andrew C. GILBERT, et al.  
**Group Art Unit:** 3691  
**Confirmation No.:** 5741  
**Examiner:** Muriel S. Tinkler

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INTERVIEW SUMMARY**

In a telephone interview of August 12, 2008 between David Boundy, attorney of record, and SPE Alexander Kalinowski, SPE Kalinowski stated that the request to withdraw finality was granted, and that examination would continue from a non-final posture, and that a paper to that effect would be issued by the PTO.

Mr. Boundy observed to SPE Kalinowski that in several applications, several voicemails, and at least one telephone interview (in this application and others), Examiners Tinkler and Kazimi had expressed reluctance to follow the PTO's guidance for examiners and the Bulletin from the Executive Office of the President to all agencies regarding the agency's published documents. Mr. Boundy asked that Examiners Tinkler

and Kazimi be reminded of their obligations with respect to written guidance and substantial evidence in all further examination.

Respectfully submitted,

Dated: August 25, 2008

By: /David Boundy/  
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